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Date: December 1, 2003

By: Stephen P. Whelan
Stephen P. Whelan

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: STEPHEN J. KRAMER AND
MICHAEL J. JOSLYN
APPLICATION No.: 10/621,193
FILED: JULY 15, 2003
FOR: **METHOD AND APPARATUS FOR FORMING
AND USING PLANARIZING PADS FOR
MECHANICAL AND CHEMICAL-
MECHANICAL PLANARIZATION OF
MICROELECTRONIC SUBSTRATES**

EXAMINER:
ART UNIT: 2881
CONF. NO: 2351

**Information Disclosure Statement Within Three Months of
Application Filing or Before First Action – 37 C.F.R. § 1.97(b)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 C.F.R. § 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

- ☒ Copies of the following references can be found in parent U.S. Application No. 09/651,778:
- ☒ All cited references
 - ☐ References marked by asterisks
 - ☐ The following:

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action.

☐ Applicant further submits that no fee is due in light of the following certification under 37 C.F.R. § 1.97(e) (check only one):

☐ In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or

☐ In accordance with 37 C.F.R. § 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of this statement.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

Respectfully submitted,
Perkins Coie LLP

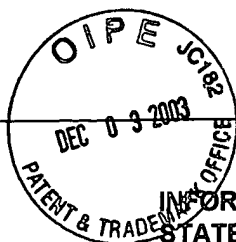


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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Form PTO-1449 (Modified)
(Use several sheets if necessary)

COMPLETE IF KNOWN

Sheet	1	of	6	Application Number	10/621,193
				Confirmation Number	2351
				Filing Date	July 15, 2003
				First Named Inventor	Stephen J. Kramer
				Group Art Unit	2881
				Examiner Name	
				Attorney Docket No.	108298389US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
		4,456,500		Ibata	06/26/1984	
		5,020,283		Tuttle	06/04/1991	
		5,196,353		Sandhu et al.	03/23/1993	
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		5,624,303		Robinson	04/29/1997	
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		5,690,540		Elliott et al.	11/25/1997	

EXAMINER	DATE CONSIDERED
<p>*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).</p>	



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U.S. PATENT DOCUMENTS

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		NUMBER	Kind Code (if known)			
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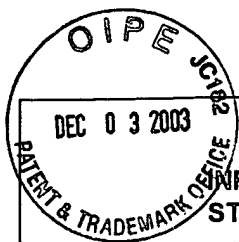
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		NUMBER	Kind Code (if known)			
		5,894,852		Gonzales et al.	04/20/1999	
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		NUMBER	Kind Code (if known)			
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		6,213,845	B1	Elledge	04/10/2001	
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		6,244,944	B1	Elledge	06/12/2001	

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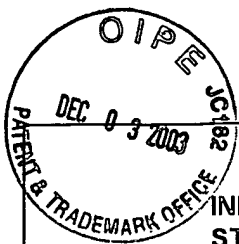
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		NUMBER	Kind Code (if known)			
		6,250,994	B1	Chopra et al.	06/26/2001	
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		6,331,488	B1	Doan et al.	12/18/2001	
		6,350,180	B2	Southwick	02/26/2002	
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		6,352,470	B2	Elledge	03/05/2002	

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T
		DuPont Cyrel® Photopolymer Flexographic Printing Plates, Process-of-Use Manual, 97 pages, E.I. du Pont de Nemours and Company, Wilmington, Delaware, December 1996.	

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